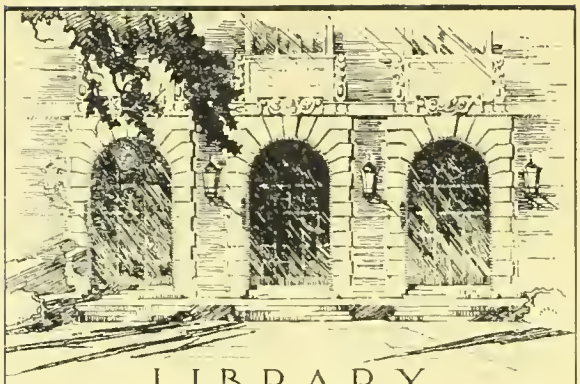

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DELIBERATE SOCIAL CHANGE IN THE CITY:
List of Suggested Readings

Prof. Leonard Duhl and Visiting Professor Donald A. Schon
Urban Social Policy Program, College of Environmental Design
University of California, Berkeley

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Mrs. Mary Vance, Editor
Post Office Box 229
Monticello, Illinois 61856

DELIBERATE SOCIAL CHANGE IN THE CITY: LIST OF SUGGESTED READINGS

Leonard Duhl
and
Donald A. Schon

University of California
College of Environmental Design
Urban Social Policy Program

NOTE: The list was designed to serve as a base for intensive research as well as an outline for normal course reading; students are encouraged to read selectively and skim as much as possible.

I. The Scope of "Social Change"

Manheim, Karl. "Planning for Freedom," in Etzioni, Amitai and Etzioni, Eva, eds. Social Change (New York: Basic Books, 1964).

Morison, Elting E. Men, Machines, and Modern Times (Cambridge: MIT Press, 1967).
Chapter 1: "Introductory Observations:" (skim).
*Chapter 2: "Gunfire at Sea."
*Chapter 6: "Men and Machinery."

Frank, Lawrence K. "The Need for a New Political Theory," 96 Daedalus (Summer 1967) pp. 809-16.

Etzioni, Amitai and Eva Etzioni, eds. Social Change: Sources, Patterns, and Consequences (New York: Basic Books, 1964).

Hoffer, Eric. The Ordeal of Change (New York: Harper & Row, 1963).

Heilbroner, Robert L. The Future as History (New York: Harper, 1960). Chapter 1.

II. Toward a Theory of Social Change.

*Moore, Wilbert E. Social Change (Englewood Cliffs, N.J.: Prentice-Hall, 1963). (Skim to get structure, not content. What areas has he neglected? Would you organize the book differently? How helpful is the discussion of each topic?)

Yarmolinsky, Adam. "Ideas into Programs", 2 The Public Interest (Winter 1966) pp. 70-79.

*Rapoport, Anatol. Operational Philosophy: Integrating Knowledge and Action (New York: Harper & Row, 1953; available as a Science Editions paperback). (A very interesting book which seeks to bring some of the work of modern analytical philosophy and research methodology to bear on the problem of translating theory into action. Part I seems useful here.)

Dye, Thomas R. Politics, Economics, and the Public (Chicago: Rand McNally, 1966).
Chapter 1: "A Model for Analysis of Policy Outcomes" pp. 1-21.
Chapter 2: "The Design of Policy Research" pp. 22-45.

*Rapoport, Anatol, op. cit. Chapter 17: "Metaphors and Models" pp. 203-214.

*Van Dyke, Vernon. Political Science: A Philosophical Analysis (Stanford: Stanford U. Press, 1960). (A very readable exposition of many of the most important concepts underlying our work in this course. Virtually every chapter is directed toward a central problem which we face in working toward a theory or useful description of social change. Read as much as you have time for; this book and Rapoport complement each other nicely.)

Klausner, Samuel Z. "Links and Missing Links Between the Science of Man", in Klausner, ed., The Study of Total Societies (Garden City, N.Y.: Anchor Books, 1967) pp. 3-29.
See also Klausner's Epilogue (pp. 193-206) in the same volume.

Etzioni, Amitai and Fredric R. DuBow. "Some Workpoints for a Macro-sociology," in Klausner, op. cit., pp. 149-161.

Etzioni, Amitai. The Active Society: A Theory of Societal and Political Processes (New York: The Free Press, 1968).

III. - A. The Rational Problem Solving Process.

Easton, David. "A Systems Analysis of Political Life," in Walter Buckley, ed., Modern Systems Research for the Behavioral Scientist (Chicago: Aldine Publishing Co., 1968) pp. 428-436. (This article applies systems concepts to a framework of rational assumptions; the categories set forth in the bibliography overlap at a number of points and many hybrid approaches are possible.)

Vickers, Geoffrey. "Is Adaptability Enough?", in Buckley, op. cit., pp. 460-473.

*Hirschman, Albert O. Journeys Toward Progress (New York: The Twentieth Century Fund, 1963).
Part II: "Problem-solving and Reformmongering," pp. 227-298.

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*Dahl, Robert A. and Charles E. Lindblom. Politics, Economics, and Welfare (New York: Harper & Row, 1953).
Chapter 1: "Social Techniques and Rational Social Action," pp. 3-24.
Chapter 3: "Some Social Processes for Rational Calculation," pp. 57-92.
Chapters 12 & 13: "Bargaining: Control Among Leaders," pp. 324-365.

III. - B. Behavioral Approaches to Social Change; Functional Analysis.

*Rosenblueth, Arturo, Norbert Wiener and Julian Bigelow. "Behavior, Purpose, and Teleology," in Walter Buckley, ed., Modern Systems Research for the Behavioral Scientist (Chicago: Aldine Pub. Co., 1968) pp. 221-225.

Mills, C. Wright. "Two Styles of Social Science Research," in Mills, Charles Wright, ed., Power, Politics, and People (New York: Oxford U. Press, 1961) pp. 553-567.

*George, Alexander L. "Political Leadership and Social Change in American Cities," 97 Daedalus (Fall 1968) pp. 1194-1217. (Read for comparison with the Lindsay article immediately following; George seems to represent many of the assumptions and priorities that are usually characterized as "behaviorist", while Lindsay is more systems-oriented in that he focuses not on people, but on the organizational context in which they function. For the Lindsay reference, see III. - D.)

Coleman, James, Herbert Menzel and Elihu Katz. "Social Processes in Physicians' Adoption of a New Drug," in Etzioni and Etzioni, op. cit., pp. 439-454.

Cancian, Francesca. "Functional Analysis of Change," ibid., pp. 122-124.

Lippitt, R., J. Watson and B. Westley. The Dynamics of Planned Change (New York: Harcourt, Brace and World, 1958).

Lewin, Kurt. "Group Dynamics and Social Change," in Etzioni and Etzioni, op. cit., pp. 354-361.

III. - C. Social Change as Socio-Political Conflict.

Coser, Lewis. The Functions of Social Conflict (Glencoe, Ill.: The Free Press, 1956).

*Coser, Lewis. "The Termination of Conflict," in Etzioni and Etzioni, eds., Social Change, pp. 471-475.

*Banfield, Edward C. Political Influence (Glencoe, Ill.: The Free Press of Glencoe, 1961). (Excellent series of cases with concluding theoretical discussion. Read rapidly Part II, pp. 235-306, and then read critically:
Chapter 11: Concerting Action by Influence.
Chapter 12: Influence and the Public Interest.
Is there such a thing as "the public interest?" Does anyone act in it?)

Schelling, Thomas C. The Strategy of Conflict (New York: Oxford U. Press, 1963).
(An excellent and highly readable account of what constitutes reasonable action in a conflict situation involving the interdependent actions of the participants. The following parts seem relevant here:
Part I: "Elements of a Theory of Strategy", pp. 3-80.
Part II: "A Reorientation of Game Theory", pp. 83-172.
Part III: "Strategy With a Random Ingredient", pp. 173-204.
Read rapidly with an eye toward changes necessary for application to our situation.)

Shubik, Martin, ed. Game Theory and Related Approaches to Social Behavior (New York: Wiley, 1964).
Chapter 1: "Game Theory and the Study of Social Behavior: An introductory Exposition", pp. 3-77.
(Read for a general introduction to the approach, assumptions, and utility of game theory. Many parts can be skimmed. Is game theory valuable for our purposes? How?)

Mills, C. Wright. "The Structure of Power in American Society", in Mills, C. Wright, ed., Power, Politics, and People (New York: Oxford U. Press, 1961) pp. 23-38.

Rose, Arnold M. "Contrasting Theories of Political Power in American Society", in Rose, The Power Structure: Political Process in American Society (New York: Oxford U. Press, 1967) pp. 1-40.

*Rapoport, Anatol. "Critiques of Game Theory," in Buckley, op. cit., pp. 474-489.

Morris, Robert and Martin Rein. "Emerging Patterns in Community Planning," in Bernard J. Frieden and Robert Morris, Urban Planning and Social Policy (New York: Basic Books, Inc., 1968) pp. 23-38.

Coleman, James S. Community Conflict (New York: Free Press, 1957).

*Dahrendorff, Ralf. "Toward a Theory of Social Conflict," in Etzioni and Etzioni, op. cit., pp. 98-111.

Long, Norton. "The Local Community as an Ecology of Games," 64 American Journal of Sociology (November 1958) pp. 251-261.

Boulding, Kenneth E. Conflict and Defense: A General Theory (New York: Harper & Bros., 1962) pp. 1-188.
See especially Chapters 1 and 2, pp. 1-40.

Litwak, Eugene. "Models of Bureaucracy that Permit Conflict," 67 American Journal of Sociology (1961) pp. 177-184.

Kaplan, Abraham and Harold D. Lasswell. Power and Society (New Haven: Yale U. Press, 1950).

*Coser, Lewis A. "Social Conflict and the Theory of Social Change," in Chaim I. Waxman, ed., Poverty: Power and Politics (New York: Grosset & Dunlap, 1968) pp. 64-78.

III. - D. Systems Analysis and Institutional Factors in Change.

**Forrester, Jay W. "Industrial Dynamics: After the First Decade", 14 Management Science (March 1968) pp. 398-415.

*Beer, Stafford. Management Sciences: The Business Use of Operations Research (Garden City: Doubleday & Co., 1968). (Paperback: Doubleday Science Series).
(An exceedingly readable and even entertaining exposition of the basic ideas and applications of operations research-cum-systems analysis. The discussion is oriented toward management applications, but the transition between management and social change concerns is a very short one, at least in this area. Depending on your background, you may already know most of the material in the book. If not, at least sample each of the chapters, because the concepts are basic to many other concerns in the course. 189 pp.)

*Boulding, Kenneth E. "General Systems Theory - The Skeleton of Science," in Walter Buckley, ed., Modern Systems Research for the Behavioral Scientist (Chicago: Aldine Pub. Co., 1968) pp. 3-10.

*Bertalanffy, Ludwig von. "General System Theory: A Critical Review," in Buckley, op. cit., pp. 11-30.

*Hall, A.D. and R.E. Fagen. "Definition of System," in Buckley, op. cit., pp. 81-92.

** (A good summary of systems concepts with emphasis on dynamic and feedback-oriented processes.)

*Kremyanskiy, V.I. "Certain Peculiarities of Organisms as a 'System' From the Point of View of Physics, Cybernetics, and Biology," in Buckley, op. cit., pp. 76-80.

*Ashby, W. Ross. "Principles of the Self-Organizing System," in Buckley, op. cit., pp. 108-118.

*Pringle, J.W.S. "On the Parallel between Learning and Evolution," in Buckley, op. cit., pp. 259-280.
(As you read, think in terms of institutional systems and their response to outside events.)

Maruyama, Magoroh. "The Second Cybernetics: Deviation-Amplifying Mutual Causal Processes," in Buckley, op. cit., pp. 304-313.

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Lindsay, Franklin A. "Managerial Innovation and the Cities," 97 Daedalus (Fall 1968) pp. 1218-1230. (See comments under III. - B.)

III. - E. Non-deliberate Approaches to Social Change.

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*Sommerhof, G. "Purpose Adaptation and 'Directive Correlation' ", in Buckley, op. cit., pp. 281-295.

*Buckley, Walter. "Society as a Complex Adaptive System," in Buckley, op. cit., pp. 490-513.

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*Kroeber, A.L. "Diffusionism," ibid., pp. 142-146.

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Boulding, Kenneth E. "The Learning Process in the Dynamics of Total Societies," ibid., pp. 98-113.

Tiryakian, Edward A. "A Model of Societal Change and its Lead Indicators," ibid., pp. 69-97.

IV. - A. Influencing the Emergence of Ideas in Good Currency.

Downs, Anthony. Inside Bureaucracy (Boston: Little, Brown & Co., 1967).
Chapter 19: "Bureaucratic Ideologies," pp. 237-246.

Boulding, Kenneth E. Conflict and Defense: A General Theory (New York: Harper & Bros., 1962).
Chapter 14: "Ideological and Ethical Conflict," pp. 277-304.

Schon, Donald A. Invention and the Evolution of Ideas (New York: Barnes & Noble, 1967). See especially Chapter 6: "The Life of Metaphors in Theory: A Speculative Conclusion," but the entire book is relevant.

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Karl, Barry D. "The Power of Intellect and the Politics of Ideas," 97 Daedalus (Summer 1968) pp. 1002-1035.

IV. - B. Effecting Shifts in Institutional Policy.

Banfield, Edward C. "Why Government Cannot Solve the Urban Problem", 97 Daedalus (Fall 1968) pp. 1231-1241.

Hirschman, Albert O. "Models of Reformmongering," 77 Quarterly Journal of Economics (May 1963)pp. 236-257.

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Chapter 6: "Influence, Information, and Innovation," pp. 141-175.
Chapter 8: "A Case of Nonsupport," pp. 205-237.

IV. - C. Instituting Programs from a Central Administrative Base.

*Wilson, James Q. "The Bureaucracy Problem," 6 The Public Interest (Winter 1967) pp. 3-9.

*Downs, Anthony. Inside Bureaucracy (Boston: Little, Brown, 1967).
Chapter 11: "The Basic Nature of Control Problems in Bureaus," pp. 132-143.
Chapter 12: "Control Processes and Devices," pp. 144-157.
Chapter 22: "A Summary of Hypotheses," pp. 262-280.
(The rest of the book is also relevant.)

Kristol, Irving. "Decentralization for What?" 11 The Public Interest (Spring 1968) pp. 17-25.

*Lipset, Seymour M. "Bureaucracy and Social Change," in Robert Merton, ed., Reader in Bureaucracy (Glencoe, Ill.: Free Press, 1952) pp. 221-232.

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IV. - D. Maneuvering Among Existing Institutions.

Lindblom, Charles E. The Intelligence of Democracy (New York: The Free Press, 1965).
(See also George Kurilko's review of Lindblom in 34 JAIP, pp. 198-201 (May 1968).

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Simon, Herbert A., Donald W. Smithburg and Victor A. Thompson. "The Struggle for Organizational Survival," in F. Rourke, ed., Bureaucratic Power in National Politics (Boston: Little, Brown, 1965) pp. 39-49.

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Miller, Walter. "Inter-Institutional Conflict as a Major Impediment to Delinquency Prevention," 18 Human Organization (1959/60) pp. 20-23.

IV. - E. Designing and Bringing Into Being a New Institution.

Downs, Anthony. Inside Bureaucracy (Boston: Little, Brown, 1967). See especially Chapter 5: "Relating Bureaus to Their Environment.

Simon, Herbert A. Administrative Behavior (2nd ed.). (New York: Free Press, 1957).
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IV. - F. Changing an Existing Institutional System From Within.

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*Schon, Donald A. Technology and Change (New York: Delacorte Press, 1967). "Models for Change," Chapter V, pp. 112-138.

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See especially Chapter 13: "The Rigidity Cycle," pp. 158-166.

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Schein, Edgar H. Organizational Psychology (Englewood Cliffs, N.J.: Prentice-Hall, 1965).
Chapter 6: "The Organization as a Complex System," pp. 88-95.
Chapter 7: "Organizational Effectiveness," pp. 96-105.

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Chapter 6: "The Ethics of Violence," pp. 180-215.
Appendix 2: "Apology for Violence," pp. 275-276.

V. - A. Description and Theory of Social Change.

PLEASE SEE THE READINGS UNDER II, TOWARDS A THEORY OF SOCIAL CHANGE.

V. - B. Nature of Social Change Processes.

PLEASE SEE THE READINGS UNDER PARTS III AND IV.

V. - C. Interaction Between the Change Agent and His Environment.

Simon, Herbert A. "Notes on the Observation and Measurement of Political Power," in Simon, Models of Man (New York: Wiley, 1957).

Mac Iver, R.M. "The Role of the Precipitant," in Etzioni and Etzioni, op. cit., pp. 423-26.

Hubbard, Howard. "Five Long Hot Summers and How They Grow," 12 The Public Interest (Summer 1968) pp. 3-24.

*Etzioni, Amitai. " 'Shortcuts' to Social Change?" 12 The Public Interest (Summer 1968) pp. 40-51.

*Hirschman, Albert O. "The Principle of the Hiding Hand," 6 The Public Interest (Winter 1967) pp. 10-23.

*Peattie, Lisa. "Reflections on Advocacy Planning," 34 Journal of the American Institute of Planners (March 1968) pp. 80-87.

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Marris, Peter and Martin Rein. Dilemmas of Social Reform (New York: Atherton Press, 1967).

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"The Nature and Limits of Forecasting," pp. 936-947.
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*Lindblom, Charles E. "The Science of Muddling Through," 19 Public Administration Review (Spring 1959) pp. 79-88.

V. - D. Normative Questions: Values and Ethics.

*Rapoport, Anatol. Operational Philosophy (New York: Harper & Row, 1953; paperback: Wiley Science Edition). Part II, "Operational Ethics," pp. 83-138.

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